

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re

## LEHMAN BROTHERS HOLDINGS INC., et al.,

## **Debtors.**

## Chapter 11 Case No.

**08-13555 (SCC)**  
**(Jointly Administered)**

**Ref. Docket Nos. 45371, 46476,  
46480, 46481, 46483, 46487, 46491-  
46493, 46496, 46497, 46499, 46500-  
46502, 46510, 46512-46516**

In re

## **LEHMAN BROTHERS SPECIAL FINANCING INC.,**

## **Debtors.**

### **Chapter 11 Case No.**

**08-13888 (SCC)**  
**(Jointly Administered)**

Ref. Docket No. 397

## AFFIDAVIT OF SERVICE

STATE OF NEW YORK )  
 ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
  2. On October 16, 2014, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
22<sup>nd</sup> day of October, 2014

/s/ Sidney J. Garabato

Notary Public, State of New York

No. 01GA6218946

Qualified in New York County

Commission Expires March 15, 2018

**EXHIBIT A**

In re  
  
LEHMAN BROTHERS HOLDINGS INC., et al.,  
  
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) OR (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BANC OF AMERICA CREDIT PRODUCTS, INC.  
TRANSFEROR: HLF LP  
C/O BANK OF AMERICA MERRILL LYNCH  
ATTN: GARY S. COHEN / ANTE JAKIC  
BANK OF AMERICA TOWER - 3RD FLOOR  
ONE BRYANT PARK  
NEW YORK NY 10036

Please note that your claim # 28099-16 in the above referenced case and in the amount of  
\$9,230,395.04 allowed at \$4,287,000.00 has been transferred (unless previously expunged by court order)

CVI HH INVESTMENTS, LP  
TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC.  
C/O CARVAL INVESTORS LLC ATTN: T.SALBERG  
9320 EXCELSIOR BOULEVARD  
HOPKINS MN 55343

No action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 45371 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 10/16/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on October 16, 2014.

**EXHIBIT B**

| Name  | Address   | Transferor  |
|---|---|---|
| BANC OF AMERICA CREDIT PRODUCTS , INC.                            | ONE BRYANT PARK NEW YORK NY 10036   | HLF LP C/O BANK OF AMERICA MERRILL LYNCH ATTN: GARY S. COHEN / ANTE JAKIC BANK OF AMERICA TOWER - 3RD FLOOR |
| BANCA MONTE DEI PASCHI DI SIENA S.p.A.                            | ATTN: GIANNUCCA SERRA PIAZZA SALIMBENI, 3 SIENA 53100 ITALY   |   |
| BANCO SANTANDER (SUISSE) S.A., AS AGENT                           | ATTN: LEGAL DEPARTMENT 5-7 AMI-LEVRIER GENEVA 1201 SWITZERLAND  |   |
| BANCO SANTANDER (SUISSE) S.A., AS AGENT                           | DELA PIPER ATTN: WILLIAM M. GOLDMAN, ESQ. 1251 AVENUE OF THE AMERICAS NEW YORK NY 10020   |   |
| BLUE SEA HOLDINGS SA  | C/O GMG CAPITAL SA ATTN: DAVE ELZAS OR LYDIA ENDER 23 RUE FERDINAND HODLER GENEVA 3 CH-1211 SWITZERLAND   |   |
| CITIGROUP FINANCIAL PRODUCTS , INC.                               | TRANSFEROR: EUROPA-UK 2007-6NC PLC ATTN: BRIAN BLESSING/BRIAN BROYLES 1615 BRETT ROAD, BLDG 3 NEW CASTLE DE 19720   |   |
| CREDIT SUISSE AG  | TRANSFEROR: BANCO SANTANDER (SUISSE) S.A., AS AGENT CRAVATH, SWAINE & MOORE LLP ATTN: RICHARD LEVIN 825 8TH AVENUE NEW YORK NY 10019                            |   |
| CVI HH INVESTMENTS, LP  | TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS , INC. C/O CARVAL INVESTORS LLC ATTN: T. SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343                          |   |
| CYRUS OPPORTUNITIES MASTER FUND II, LTD.                          | TRANSFEROR: MACQUARIE BANK LIMITED ATTN: SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022   |   |
| DEUTSCHE BANK AG, LONDON BRANCH                                   | TRANSFEROR: PENTWATER EQUITY OPPORTUNITIES MASTER FUND LTD. C/O DEUTSCHE BANK SECURITIES INC. ATTN: RICH VICHARDITH 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005 |   |
| GOLDDENTREE MASTER FUND II, LTD.                                  | TRANSFEROR: BARCLAYS BANK PLC ATTN: JOHN DEMARTINO 300 PARK AVENUE, 21ST FLOOR NEW YORK NY 10022  |   |
| GOLDMAN SACHS LENDING PARTNERS LLC                                | TRANSFEROR: HALCYON LOAN TRADING FUND LLC C/O GOLDMAN, SACHS & CO. ATTN: J.D. PERONE 200 WEST STREET NEW YORK NY 10282-2198                                     |   |
| GOLDMAN, SACHS & CO.  | TRANSFEROR: HALCYON LOAN TRADING FUND LLC C/O GOLDMAN, SACHS & CO. ATTN: SCOTT YOUN 200 WEST STREET NEW YORK NY 10282-2198                                      |   |
| GOLDMAN, SACHS & CO.  | TRANSFEROR: GOLDENTREE MASTER FUND II, LTD. ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282  |   |
| HALCYON LOAN TRADING FUND LLC                                     | TRANSFEROR: GOLDENTREE MASTER FUND II, LTD. ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282  |   |
| HALCYON LOAN TRADING FUND LLC                                     | MANAGING CLERK RICHARDS KIBBE & ORBE LLP 200 LIBERTY STREET NEW YORK NY 10281   |   |
| HALCYON LOAN TRADING FUND LLC                                     | TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O HALCYON ASSET MANAGEMENT LLC ATTN: MATT SELTZER 477 MADISON AVENUE - 8TH FLOOR NEW YORK NY 10022             |   |
| HALCYON LOAN TRADING FUND LLC                                     | TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O HALCYON ASSET MANAGEMENT LP ATTN: MATT SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022               |   |
| HALCYON LOAN TRADING FUND LLC                                     | TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O HALCYON ASSET MANAGEMENT LP - MATT SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022                   |   |
| HALCYON LOAN TRADING FUND LLC                                     | TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O HALCYON ASSET MANAGEMENT LP - M SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022                      |   |
| HALCYON LOAN TRADING FUND LLC                                     | TRANSFEROR: HCN LP C/O HALCYON ASSET MANAGEMENT - M SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022   |   |
| HALCYON LOAN TRADING FUND LLC                                     | TRANSFEROR: NOMURA INTERNATIONAL PLC ATTN: MATT SELTZER C/O HALCYON ASSET MANAGEMENT LP 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022                         |   |
| HALCYON LOAN TRADING FUND LLC                                     | TRANSFEROR: NOMURA INTERNATIONAL PLC C/O SELESTINO AMORE 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022  |   |
| ILLIQUIDX LLP   | TRANSFEROR: BANCA MONTE DEI PASCHI DI SIENA S.P.A. ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM                                     |   |
| KT CREDIT LLC   | TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS , INC. C/O ANDREW KURT, LLP, ATTN: DAVID HOYT 450 Lexington Avenue, 15TH FLOOR NEW YORK NY 10017                       |   |
| LIQUIDITY SOLUTIONS , INC.  | TRANSFEROR: BLUE SEA HOLDINGS SA ONE UNIVERSITY PLAZA, SUITE 312 HACKENSACK NJ 07601  |   |
| MACQUARIE BANK LIMITED  | TRANSFEROR: UNIPENSION INVEST F.M.B.A. HIGH YIELD OBLIGATION ATTN: SHAUN GEMMALA 125 WEST 55TH ST NEW YORK NY 10019   |   |
| MAIL BRIDGE FUND  | TRANSFEROR: MIZUHO ALTERNATIVE INVESTMENTS, LLC C/O MIZUHO ALTERNATIVE INVESTMENTS, LLC ATTN: MICHAEL R. SCHWENK 757 THIRD AVENUE NEW YORK NY 10017             |   |
| MIZUHO ALTERNATIVE INVESTMENTS, LLC                               | ATTN: PETER KATSANOS 1251 AVENUE OF THE AMERICAS NEW YORK NY 10020  |   |
| MORGAN STANLEY & CO, INTERNATIONAL PLC                            | CLAUDE G. SZYFER, ESQ. STROOCK & STROCK & LAVAN, LLP 180 MAIDEN LANE NEW YORK NY 10038  |   |
| MORGAN STANLEY & CO. INTERNATIONAL PLC                            | MANAGING CLERK RICHARDS KIBBE & ORBE L.L.P. ONE WORLD FINANCIAL CENTER NEW YORK NY 10281-1003   |   |
| PENTWATER EQUITY OPPORTUNITIES MASTER FUND LTD.                   | TRANSFEROR: YAU MEI CHEUNG 25 CABOT SQUARE CANARY WHARF LONDON E14 4QA ENGLAND  |   |
| PENTWATER EQUITY OPPORTUNITIES MASTER FUND LTD.                   | TRANSFEROR: DEUTSCHE BANK AG, LONDON ATTN: LUKE NEWCOMB 225 W. WASHINGTON ST. SUITE 1450 CHICAGO IL 60606   |   |
| PENTWATER EQUITY OPPORTUNITIES MASTER FUND LTD.                   | TRANSFEROR: DEUTSCHE BANK AG, LONDON ATTN: LUKE NEWCOMB 225 W. WASHINGTON ST. , SUITE 1450 CHICAGO IL 60606   |   |
| PENTWATER EQUITY OPPORTUNITIES MASTER FUND LTD.                   | TRANSFEROR: DEUTSCHE BANK AG, LONDON ATTN: LUKE NEWCOMB 225 W. WASHINGTON ST. SUITE 1450 CHICAGO IL 60606   |   |
| UNIPENSION INVEST F.M.B.A. HIGH YIELD OBLIGATIONER YAU MEI CHEUNG | TRANSFEROR: BARCLAYS BANK PLC ATTN: JOHN DEMARTINO 300 PARK AVENUE, 21ST FLOOR NEW YORK NY 10022  |   |
| 5B PINCPEST 65 REPULSE BAY ROAD HONG KONG HONG KONG               |   |   |